**PATENT** 

Attorney Docket No.: 400180

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Dhar Solanki, Gary Collier, & Martin
Langkjaer-Ohlenschiaeger
Serial No. Not Yet Assigned
Filed Herewith
For Systems And Methods For Quoting
Reinsurance

Examiner Not Yet Assigned
Group Art No. Not Yet Assigned
Confirmation No. Not Yet Assigned

October 2, 2003

Mail Stop PATENT APPLICATION Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT Dear Sir: This Information Disclosure Statement is submitted: X under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last) under 37 CFR 1.97(c) together with either a: Statement under 37 CFR 1.97(e), or a \$180 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first) under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e)(1) or (2), and П a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action, a notice of allowance, on or before payment of issue fee) Please charge to Deposit Account 12-0600 the sum of \$0.00. At any time during the

Please charge to Deposit Account 12-0600 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 12-0600 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

This Application was filed after June 30, 2003. Therefore, Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Citation without copies of U.S. patents or U.S. publications, but includes copies of foreign patents, publications or other information of

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which Applicant(s) is/are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC

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Tel No: 816/460-5826 Fax No: 816/292-2001 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO			<u> </u>	C mplete if Known		
				Application Number	Not Yet Assigned	
INF	ORMATI	ON DIS	CLOSURE	Filing Date	Herewith	
STA	TEMEN	T BY A	PPLICANT	First Named Inventor	Dhar Solanki	
				Art Unit	Not Yet Assigned	
	(Use as ma	ny sheets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	400180	

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY			
/K.H./	I	US-5,873,066	02-16-1999	Underwood et al		
K.R./		US- 6,049,773	04-11-2000	McCormack et al		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY			
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.